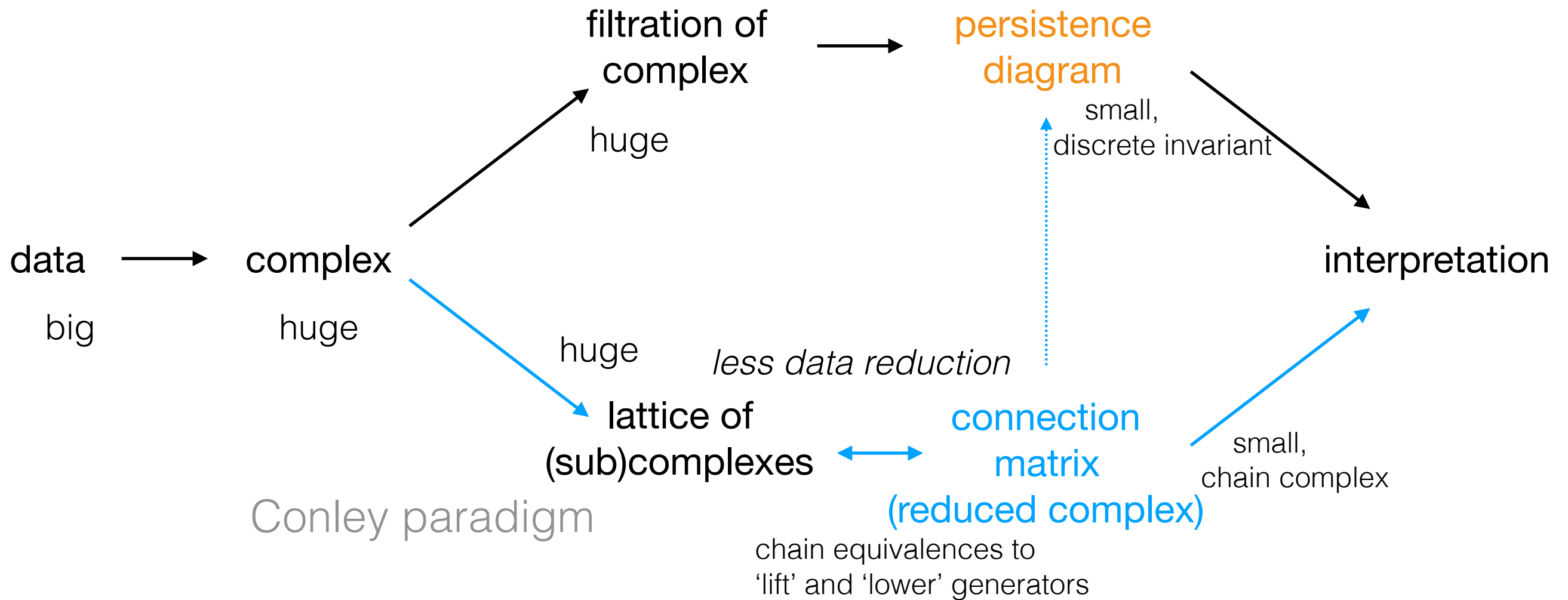


# Computational Connection Matrix Theory

*...toward new tools in applied topology*

# workflows

persistent homology paradigm



# Data Structures

graded complexes

$X$  cell complex  
e.g. Lefschetz, CW

$(X, \leq)$  face poset

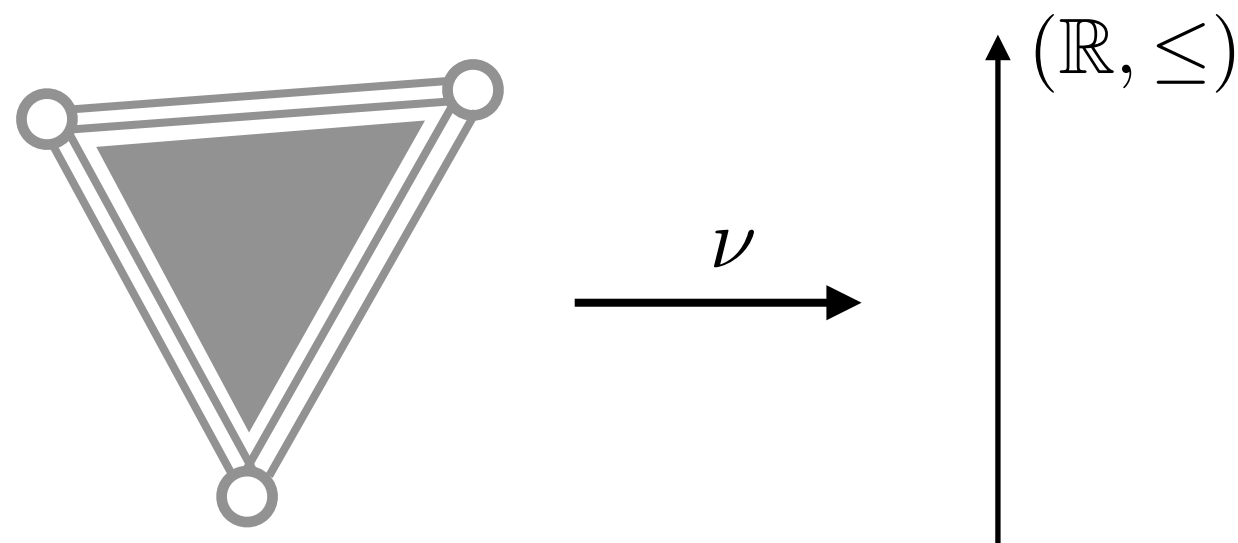
$\mathbb{R}$  poset

an order preserving map  $(X, \leq) \xrightarrow{\nu} (\mathbb{R}, \leq)$

filters  $X$  via pre-images of downsets

$\nu^{-1}(-\infty, a]$  is a subcomplex of  $X$

the collection  $\{\nu^{-1}(-\infty, a]\}_{a \in \mathbb{R}}$  is a filtration



$U \subseteq \mathbb{R}$  is a down-set if the following holds:  $x \in U$  and  $y \leq x$  implies  $y \in U$

$X$  cell complex  
 e.g. Lefschetz, CW

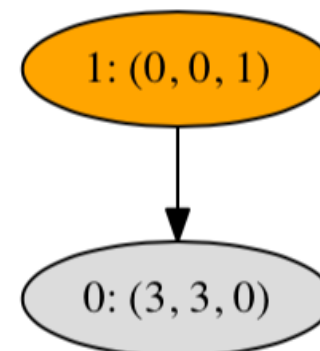
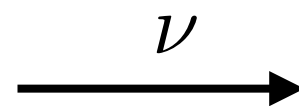
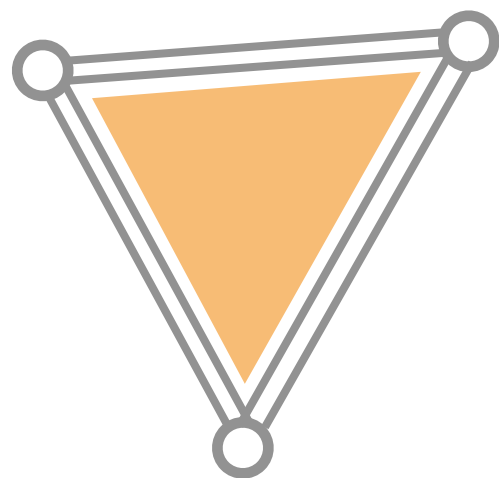
$(X, \leq)$  face poset

$P$  finite poset

an order preserving map  $(X, \leq) \xrightarrow{\nu} (P, \leq)$

filters  $X$  via pre-images of downsets

$\{\nu^{-1}(U)\}_{U \in \mathcal{O}(P)}$  is a lattice of subcomplexes  
*one-critical multifiltration if  $P \subset \mathbb{R}^n$*



*count of cells in the fiber for each dim.*

the lattice of down sets  $\mathcal{O}(P)$  is

$\mathcal{O}(P) := \{U \subset P : U \text{ is a downset}\}$      $\wedge := \cap$      $\vee := \cup$     *Birkhoff's Theorem*

$X$  cell complex  
(Lefschetz, CW)

$(X, \leq)$  face poset

$P$  finite poset

## Definition ( $P$ -graded cell complex)

$X$ ,  $P$ , and a poset morphism  $\nu$  from  $X$  to  $P$

$$(X, \leq) \xrightarrow{\nu} (P, \leq)$$

a graded cell complex determines

a  $P$ -graded chain complex  $(C(X), \partial)$

boundary map is  $P$ -graded

$$C(X) = \bigoplus_{p \in P} C(\nu^{-1}(p))$$

$$\partial_{pq} \neq 0 \implies p \leq q$$

upper triangular wrt  $P$

for a graded chain complex  $C(\mathbf{X}) = \bigoplus_{p \in \mathbf{P}} C(\nu^{-1}(p))$

structure is determined by the fibers of  $\nu$

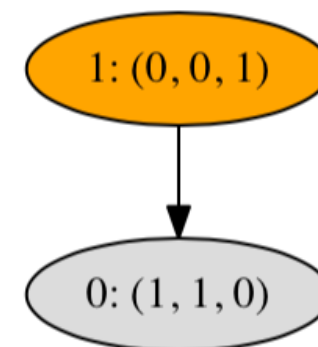
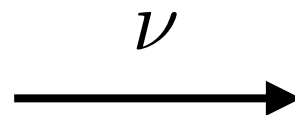
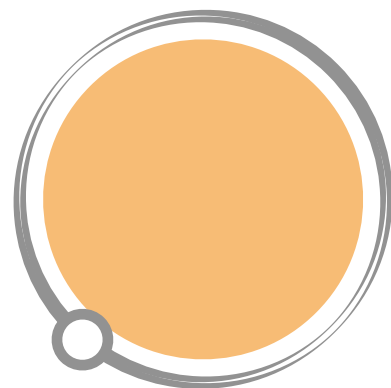
## Definition (cyclic $\mathbf{P}$ -graded complex)

$\mathbf{P}$ -graded complex with cyclic fibers

$$\partial_{pp} = 0 \quad \text{for } p \text{ in } \mathbf{P}$$

'small' objects

i.e.  $\partial$  is strictly upper triangular wrt  $\mathbf{P}$



*Betti numbers of fiber  
(Conley index)*

goal: replace graded complex with equivalent cyclic graded complex

# Categories

**goal:** homologically-faithful data compression



category  $\mathbf{GCh}(\mathbf{P})$  of  $\mathbf{P}$ -graded chain complexes

morphisms:  $\mathbf{P}$ -graded chain maps

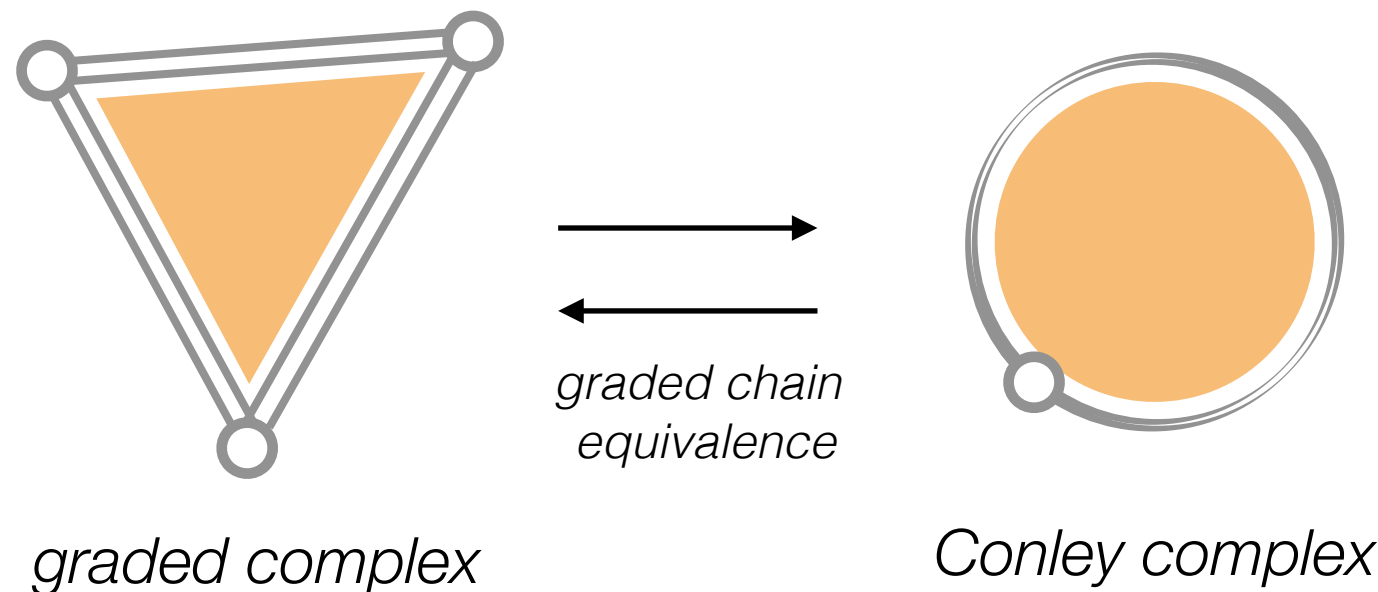
homotopy category  $\mathbf{GK}(\mathbf{P})$  of  $\mathbf{P}$ -graded chain complexes

localize about  $\mathbf{P}$ -graded chain equivalences

interpretation of connection matrix for data analysis:

a *Conley complex* is a cyclic representative of isomorphism class in  $\mathbf{GK}(\mathbf{P})$

the boundary operator of a Conley complex is a *connection matrix*



*moral: homotopy categories for chain-level data compression without loss of homological information*

subcategory  $\mathbf{GK}_0(\mathcal{P})$  of cyclic  $\mathcal{P}$ -graded complexes

$$\mathbf{GK}_0(\mathcal{P}) \xrightarrow{\mathfrak{J}} \mathbf{GK}(\mathcal{P})$$

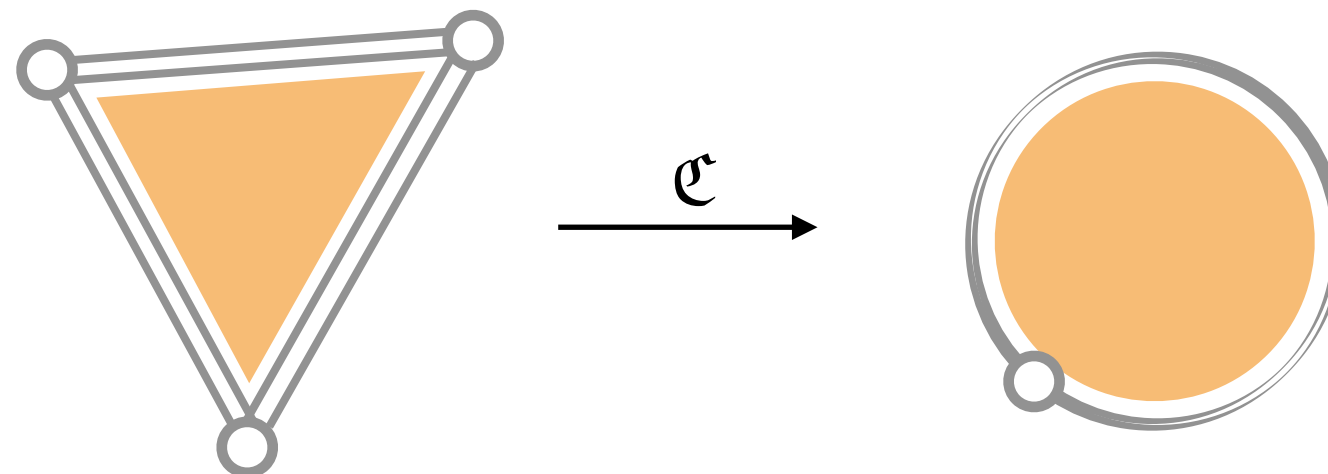
**Theorem:** over fields, the inclusion functor  $\mathfrak{J}$  is full, faithful and essentially surjective (categorical equivalence)

thus there exists an inverse functor  $\mathfrak{C}$  called a Conley functor

$$\mathbf{GK}_0(\mathcal{P}) \begin{array}{c} \xrightarrow{\mathfrak{J}} \\ \xleftarrow{\mathfrak{C}} \end{array} \mathbf{GK}(\mathcal{P})$$

taking a graded chain complex to a Conley complex

*under the hood: discrete morse theory*

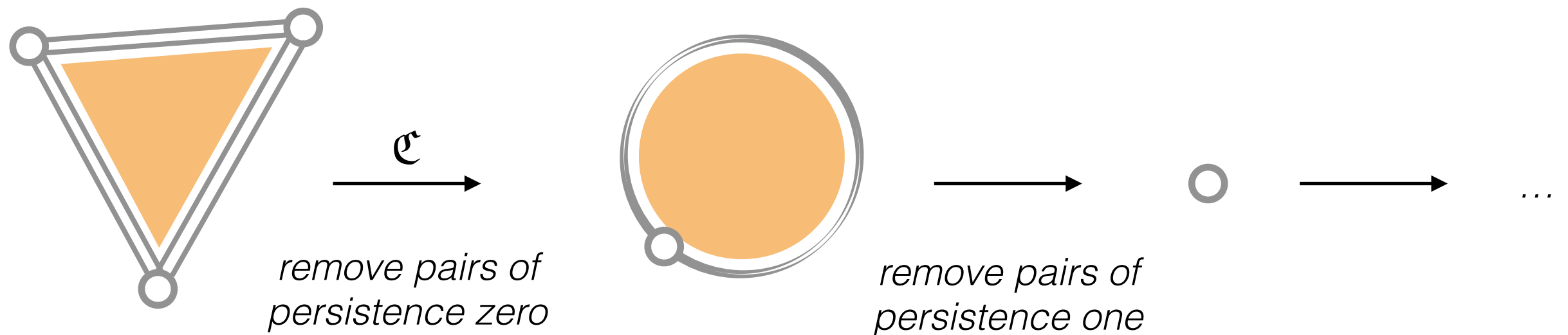


*graded complex*

*Conley complex*

*moral: homotopy categories for chain-level data compression  
without loss of homological information*

**Theorem:** For any graded complex the **persistent homology groups** of  $C_{\bullet}(P)$  and  $\mathfrak{C}(C_{\bullet}(P))$  are isomorphic



**Remark:** When  $P$  is a total order computing a Conley complex is the beginning of a spectral sequence-type algorithm (Edelsbrunner & Harer, Bauer et al, ...)

# computational Conley homology

applications + implementation

<https://github.com/shaunharker/pyCHomP>

application i:

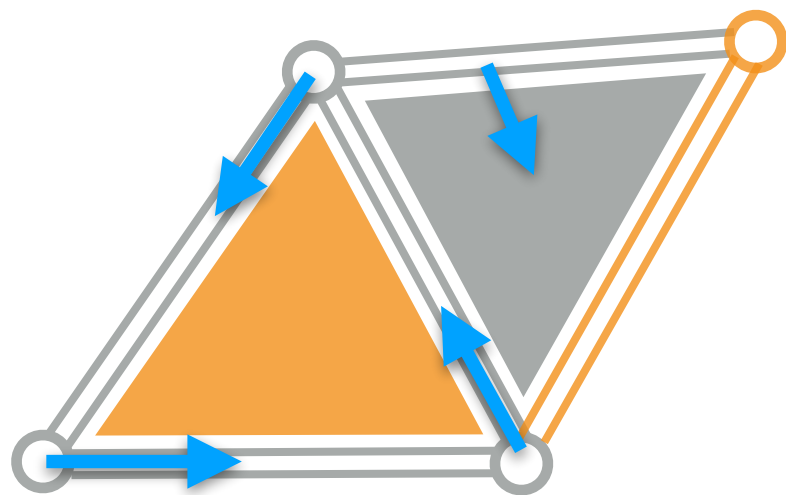
discrete flows

# discrete flows

the simplest discrete flow is a *combinatorial vector field* on  
simplicial complex  $\mathcal{K}$  (Forman)

a combinatorial vector field is a partial matching  $\{c_i\} \sqcup \{y_i < x_i\}$

two cells are matched only if one is a codimension-1 face of the other  
(no acyclicity requirement)



arrows give the matching

cells are **critical** if they are not matched

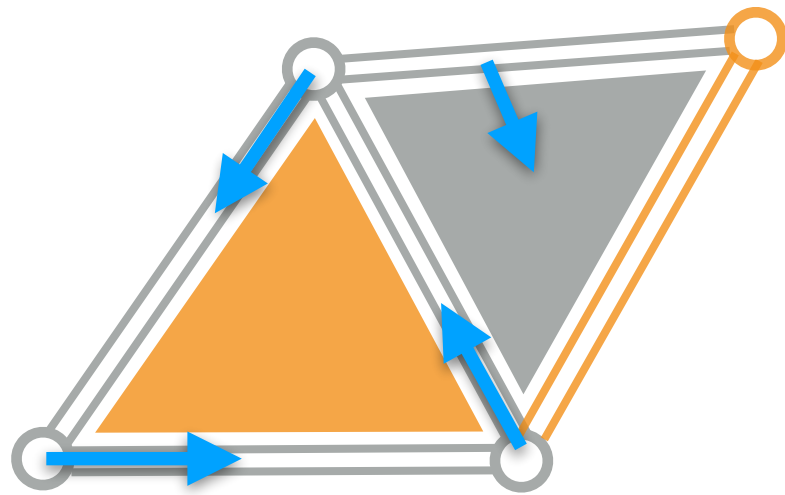
face poset and matching give a directed graph  $\mathcal{F}$  on complex  $\mathcal{K}$   
 $x_i \rightarrow y_i$  if  $y_i < x_i$  are matched or  $y_i$  is a codimension-1 face of  $x_i$

$\mathcal{F}$  partitions  $\mathcal{K}$  into poset of strongly connected components  $SC(\mathcal{F})$

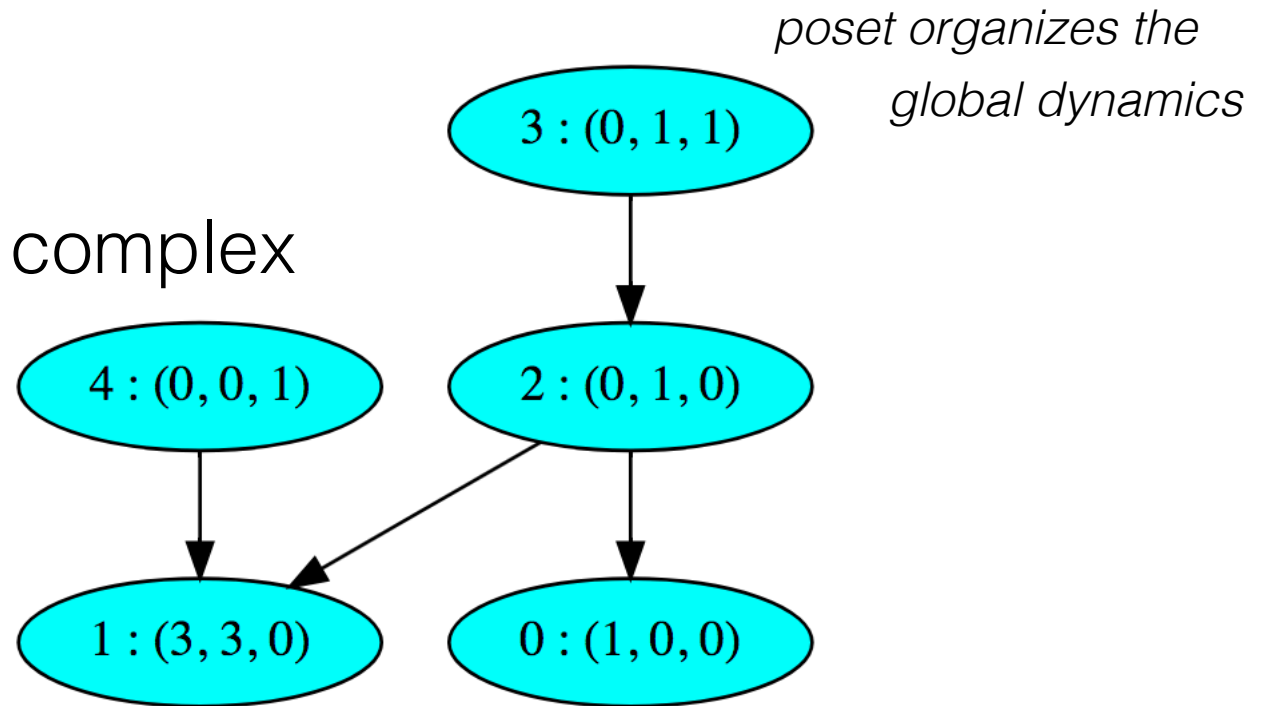
$a \in SC(\mathcal{F})$  iff it is a (maximal) set of vertices such that  
for any  $\xi, \xi' \in a$  there are paths  $\xi \rightarrow \xi'$  and  $\xi' \rightarrow \xi$  in  $\mathcal{F}$

# discrete flows ii

*complex partitions into poset of strongly connected components*



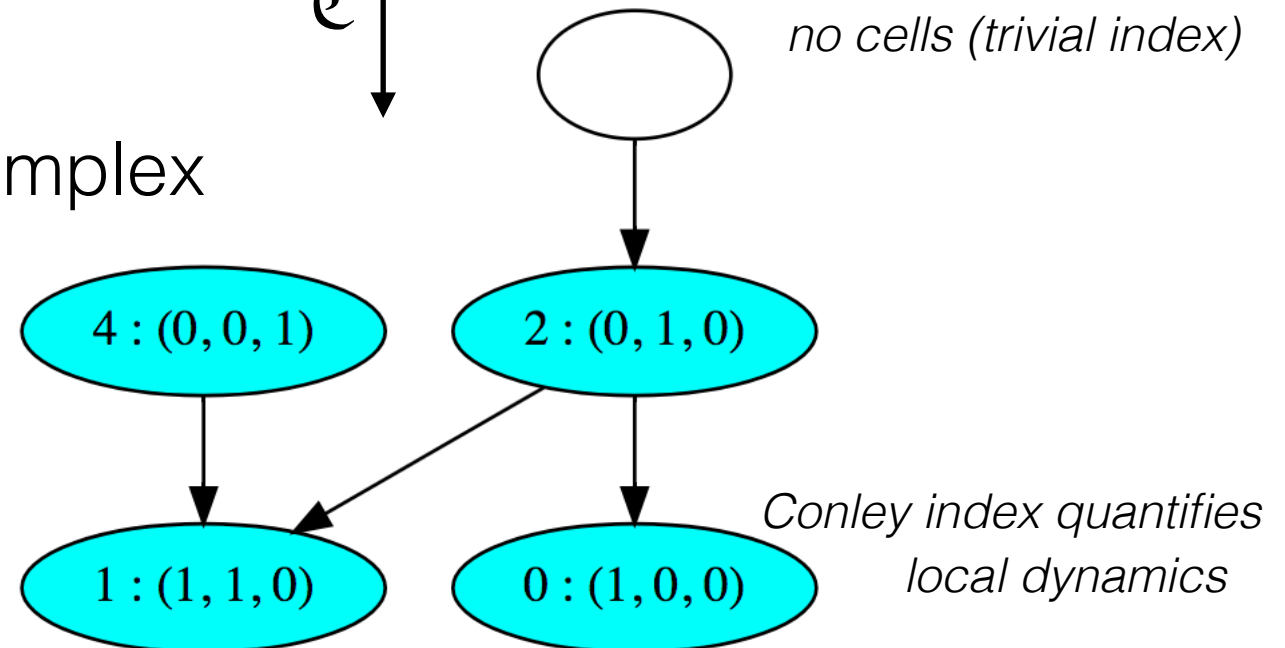
graded cell complex



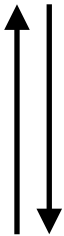
$\epsilon$

*white nodes have no cells (trivial index)*

Conley complex



*graded homotopy equivalence*



**Remark:** computational connection matrix theory generalizes to multi-vectors of Mrozek

application ii:

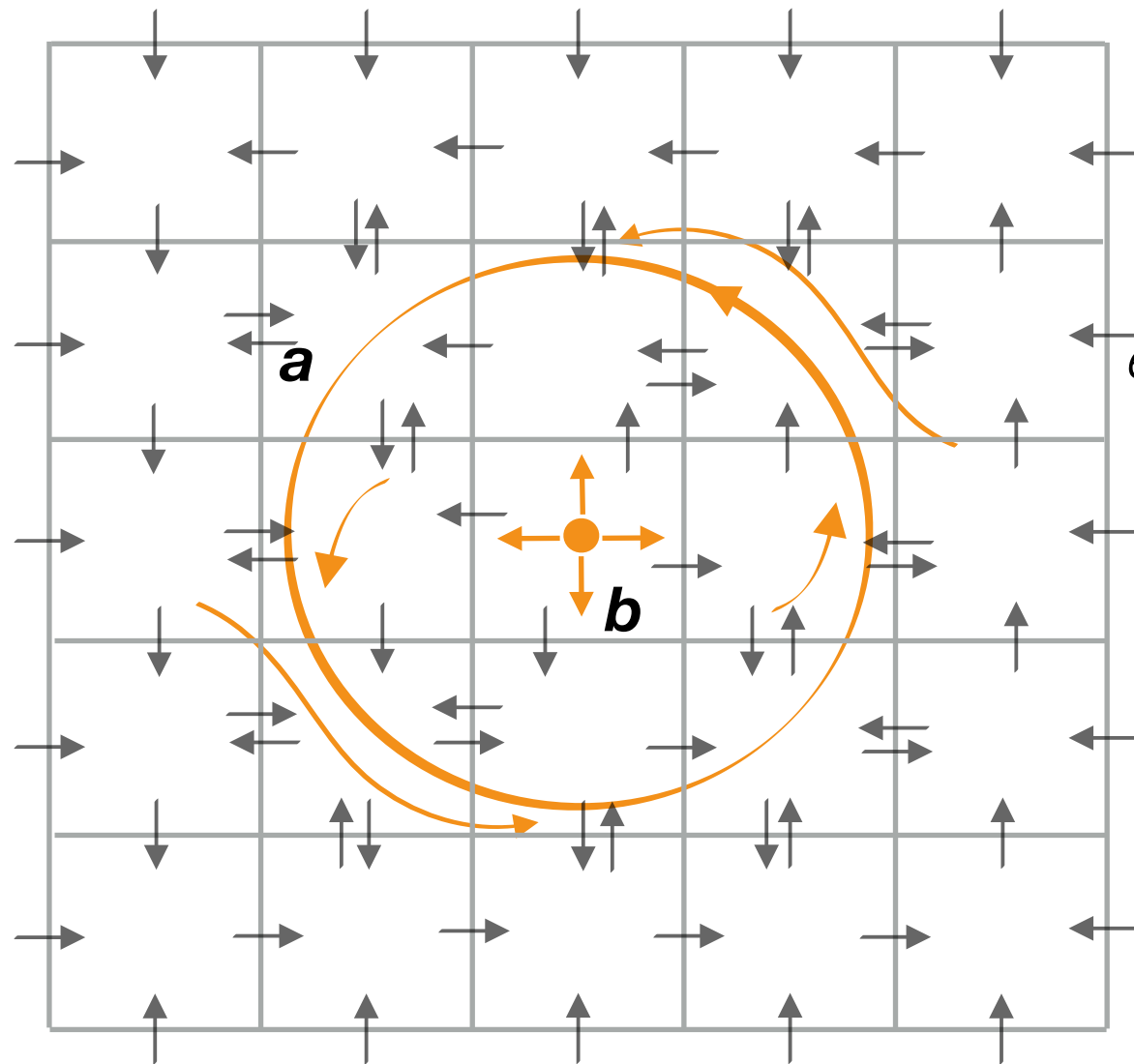
transversality



topological space is approximated with a cell complex  $\mathcal{X}$

continuous dynamics are approximated

with directed graph  $\mathcal{F}$  on top-dimensional cells  $\mathcal{X}_n$



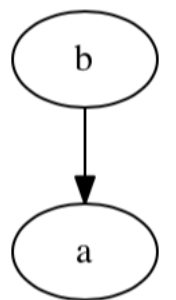
grade  $\mathcal{X}$  via:

poset  $SC(\mathcal{F})$  strongly connected components of  $\mathcal{F}$

every vertex belongs to strongly connected component

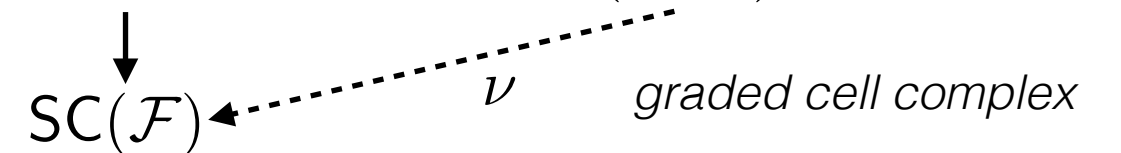
map from top cells to strongly connected components

$$(\mathcal{X}_n, \leq) \longrightarrow SC(\mathcal{F})$$



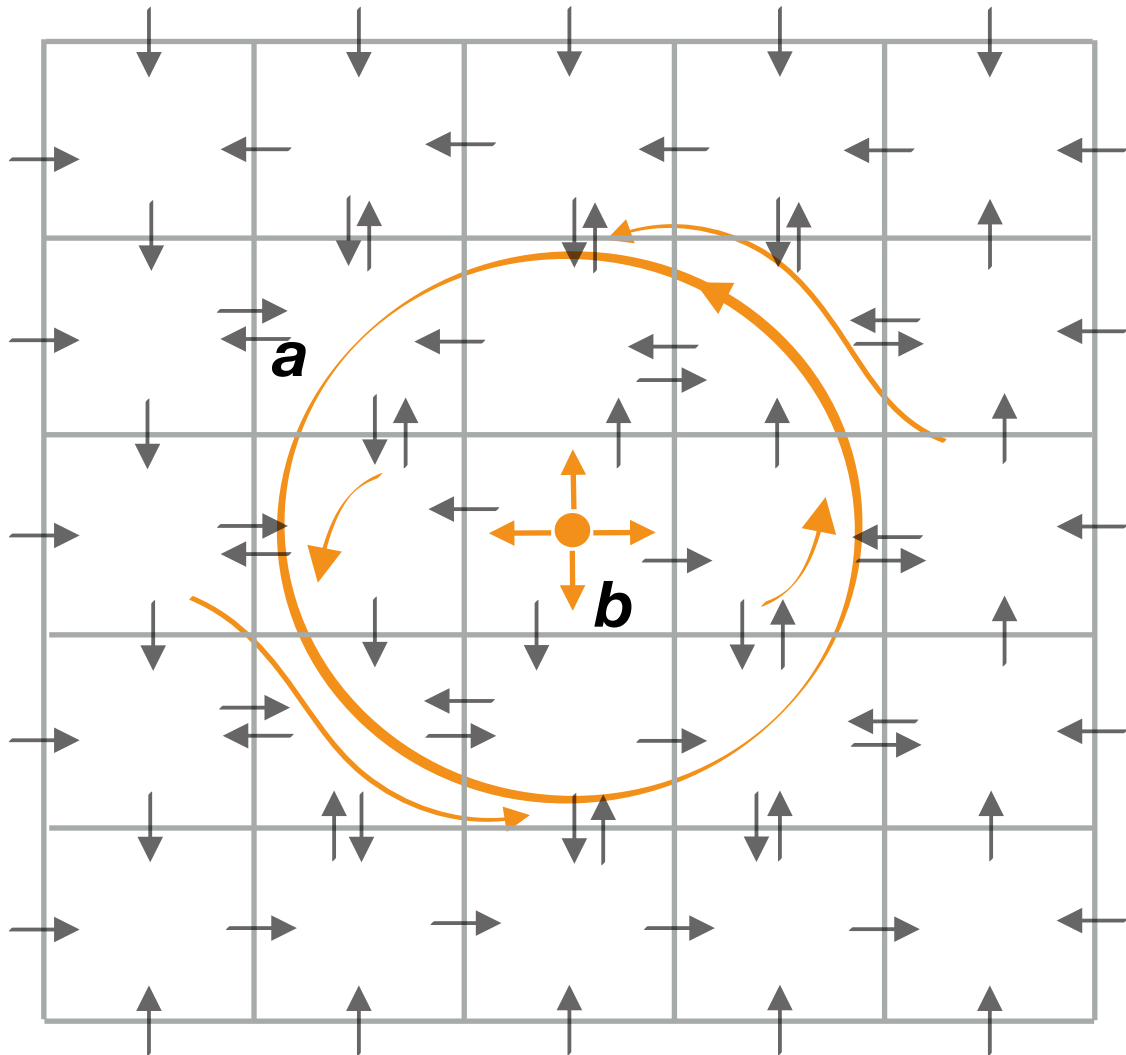
need to extend to graded cell complex

$$(\mathcal{X}_n, \leq) \longrightarrow (\mathcal{X}, \leq)$$



$\varphi$  is the flow generated by  $\dot{x} = f(x)$

# transversality models

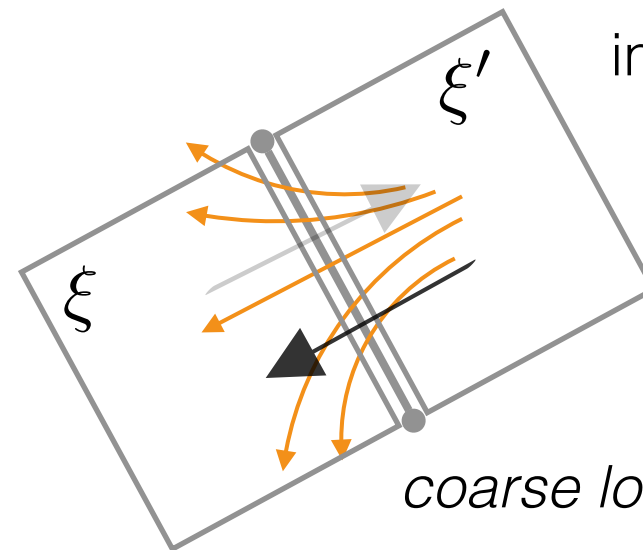


*transversality model*

if  $\xi \not\rightarrow \xi'$  between adjacent top cells

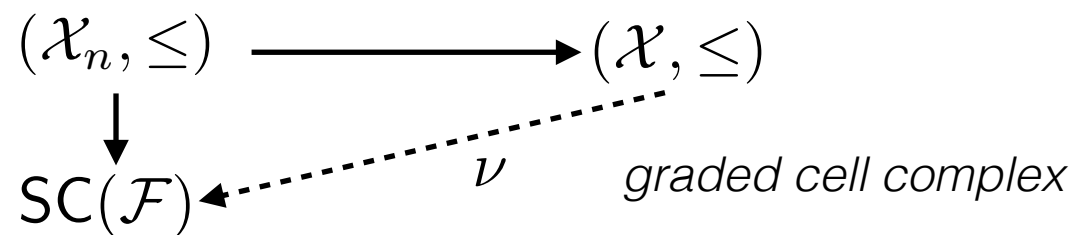
then the flow  $\varphi$  is transverse to  $\xi \cap \xi'$

in the direction  $\xi' \rightarrow \xi$



*coarse lower bound on dynamics*

**Theorem:** if the graph is a transversality model then there is an extension  $\nu$

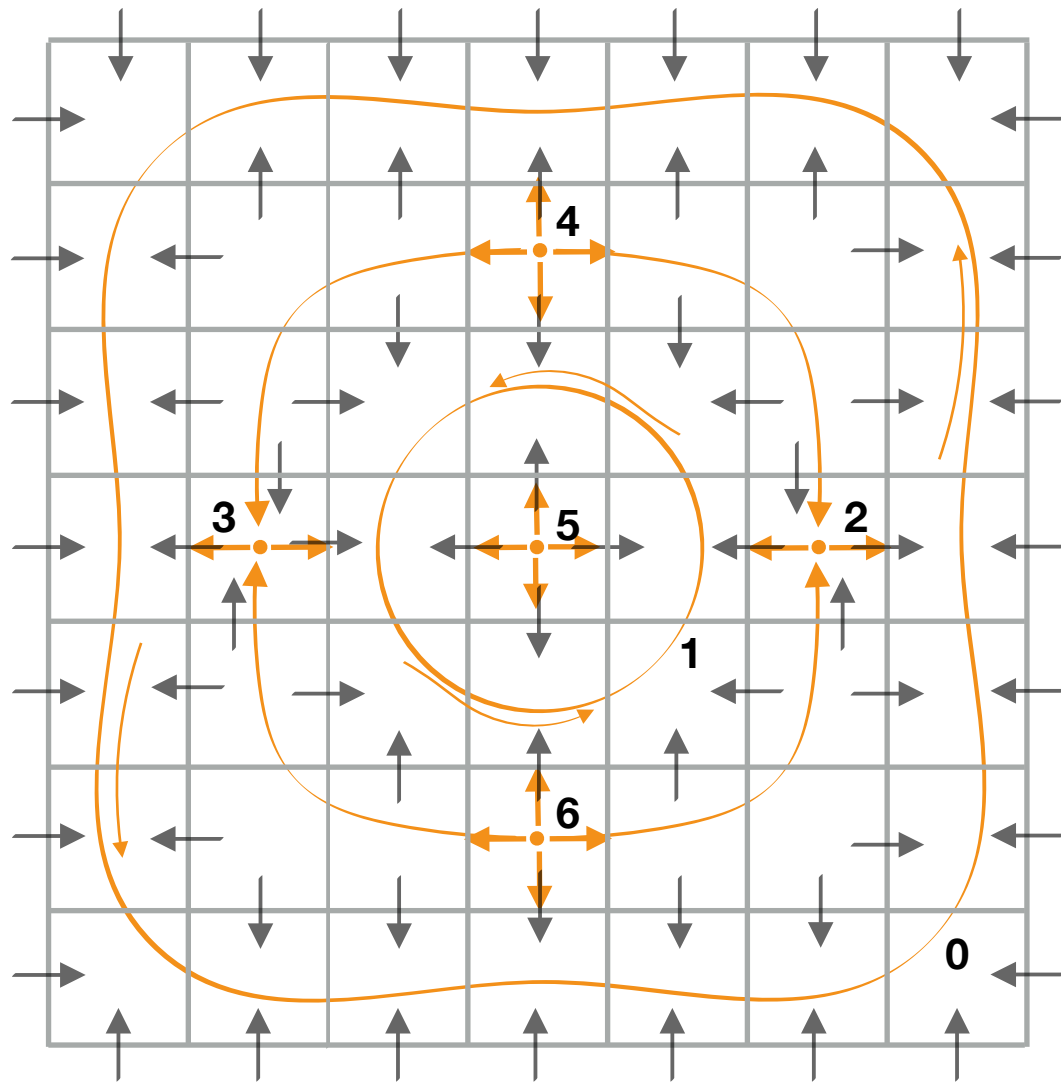


1.  $A = \{\nu^{-1}(a)\}_{a \in \text{O}(\text{SC}(\mathcal{F}))}$  is a lattice of attracting blocks for  $\varphi$
2.  $\mathfrak{C}(\mathcal{X}, \nu)$  is a Conley complex for continuous dynamics  $\varphi$

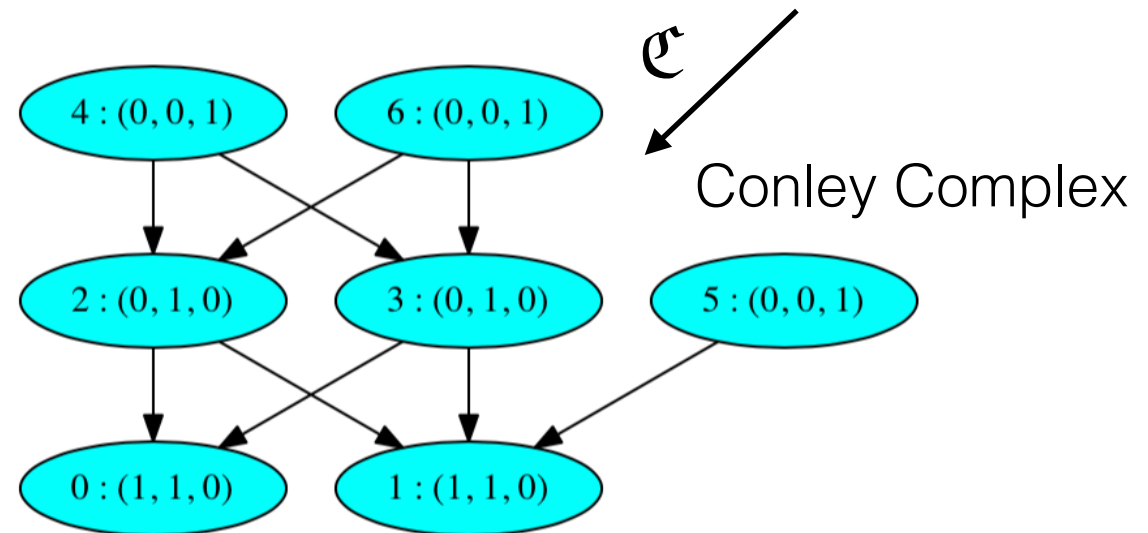
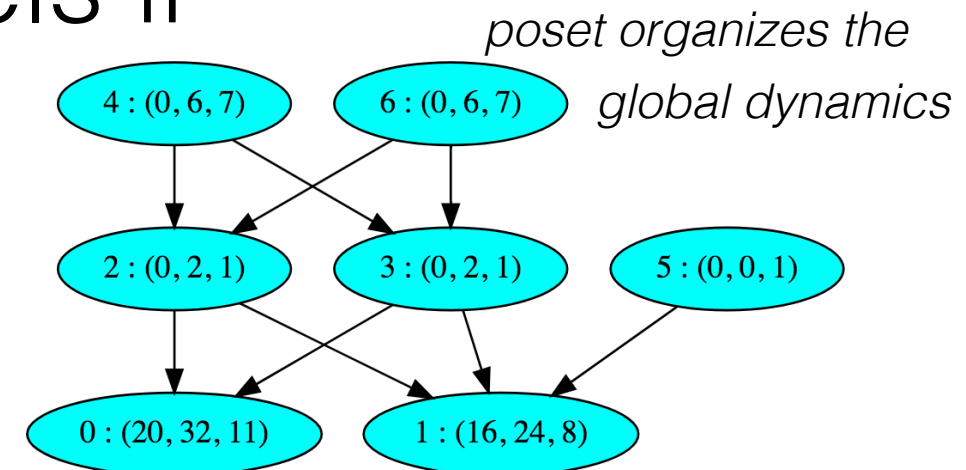
**Remark:** Computations + theorems are valid for any differential equation which is transverse to top cell boundaries in direction indicated

*Harker + Mischaikow  
+ S. + Vandervorst*

# transversality models ii



graded cell complex



connection matrix is represented  
with respect to a basis

different bases give different  
qualitative descriptions of dynamics

*in this example: four different bases*

## Connection Matrix Data

=====

Boundaries of 0-cells (by cell index):

Cell 0 (valuation 1) :  $\{\}$

Cell 1 (valuation 0) :  $\{\}$

Boundaries of 1-cells (by cell index):

Cell 2 (valuation 2) :  $\{0, 1\}$

Cell 3 (valuation 3) :  $\{0, 1\}$

Cell 4 (valuation 0) :  $\{\}$

Cell 5 (valuation 1) :  $\{\}$

Boundaries of 2-cells (by cell index):

Cell 6 (valuation 6) :  $\{2, 3, 4, 5\}$

Cell 7 (valuation 5) :  $\{5\}$

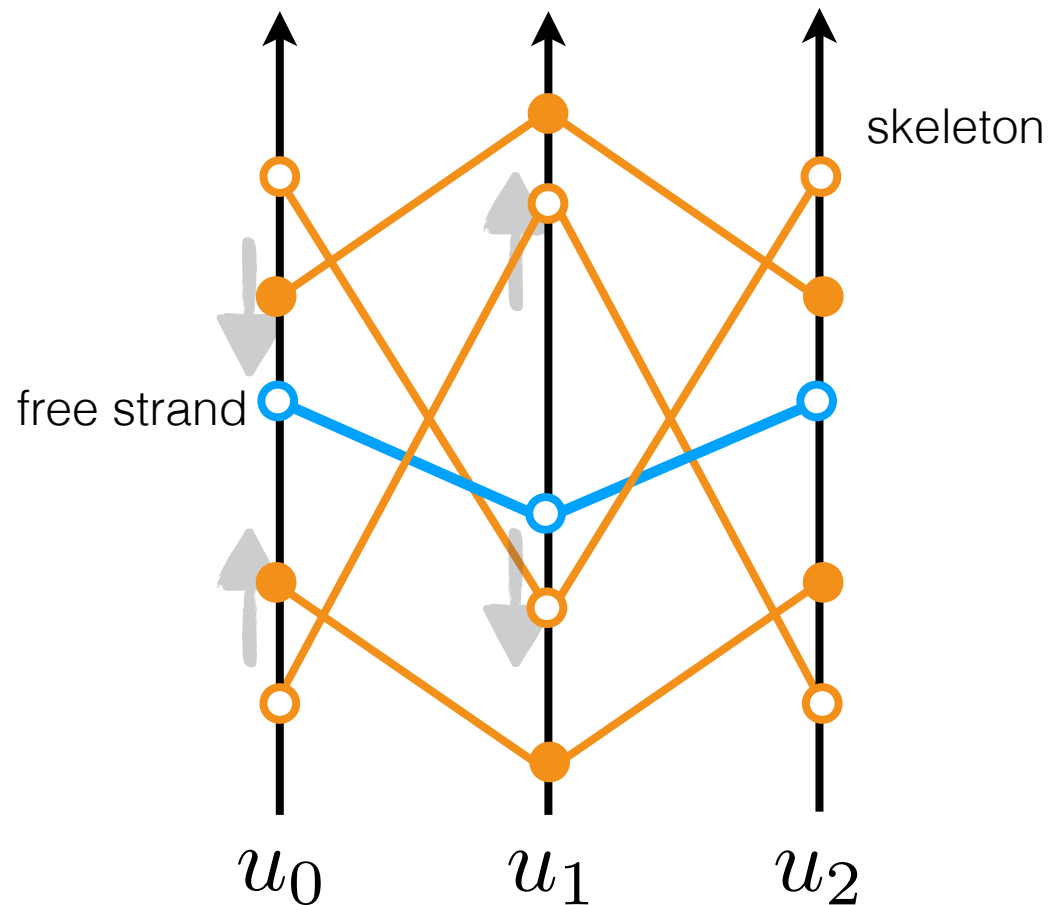
Cell 8 (valuation 4) :  $\{2, 3\}$

application iii:

Morse theory on braids

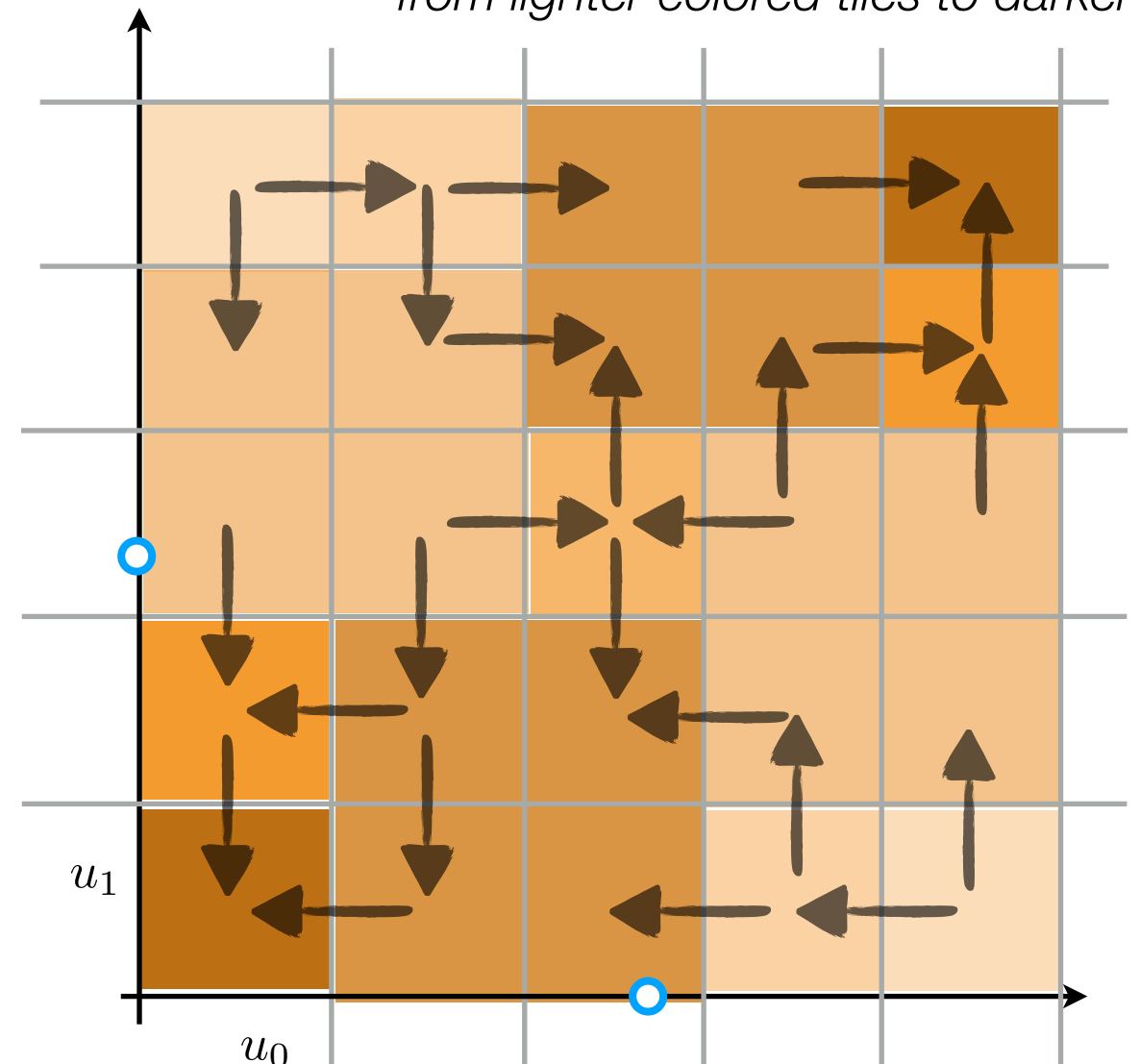
# Morse theory on braids

van den Berg, Ghrist, van der Vorst,  
*Inventiones Math.* 2003



Braided equilibrium solutions to parabolic PDE  
 with periodic boundary conditions

*Solutions flow across boundary edges  
 from lighter colored tiles to darker*



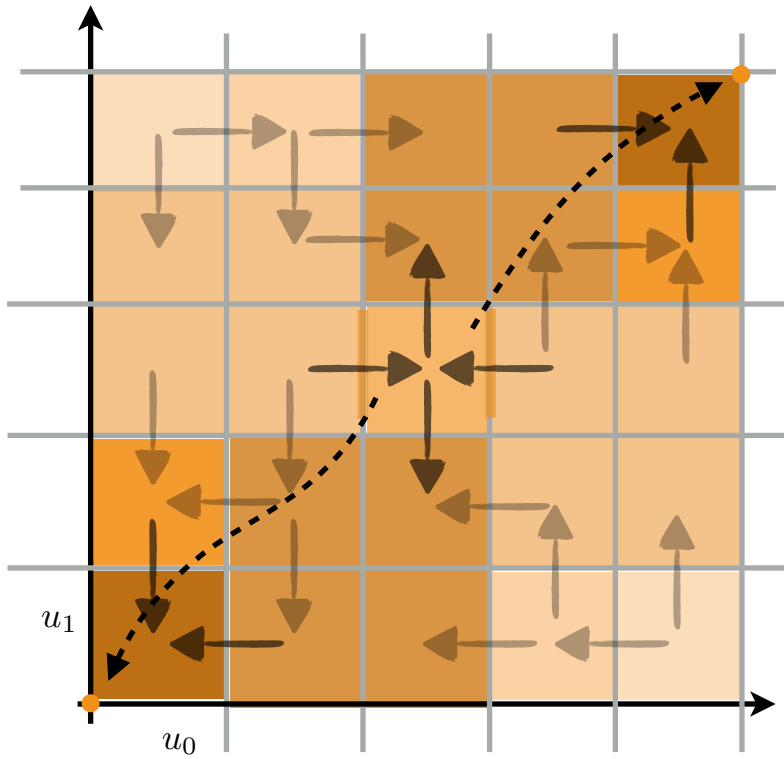
transversality model in  $\mathbb{R}^2$   
 graded cubical complex in  $\mathbb{R}^2$

**Fact:** Nontrivial Conley indices imply existence of solutions to PDE

**Fact:** Nonzero entry in connection matrix between adjacent elements proves existence of connecting orbit

# braids i

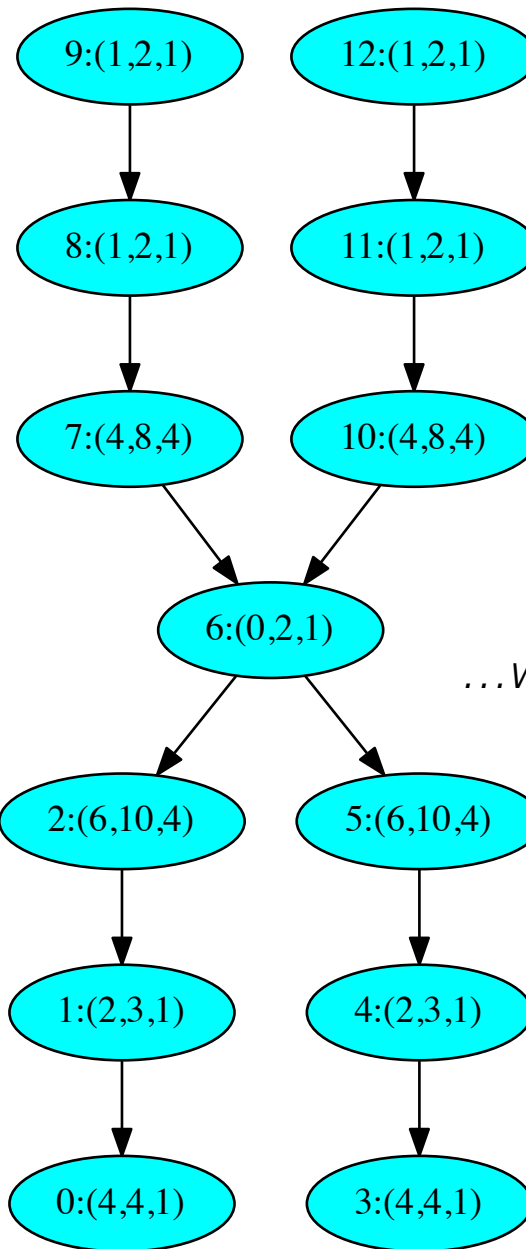
$$(X, \leq) \xrightarrow{\nu} SC(\mathcal{F})$$



graded chain equivalence

Conley complex



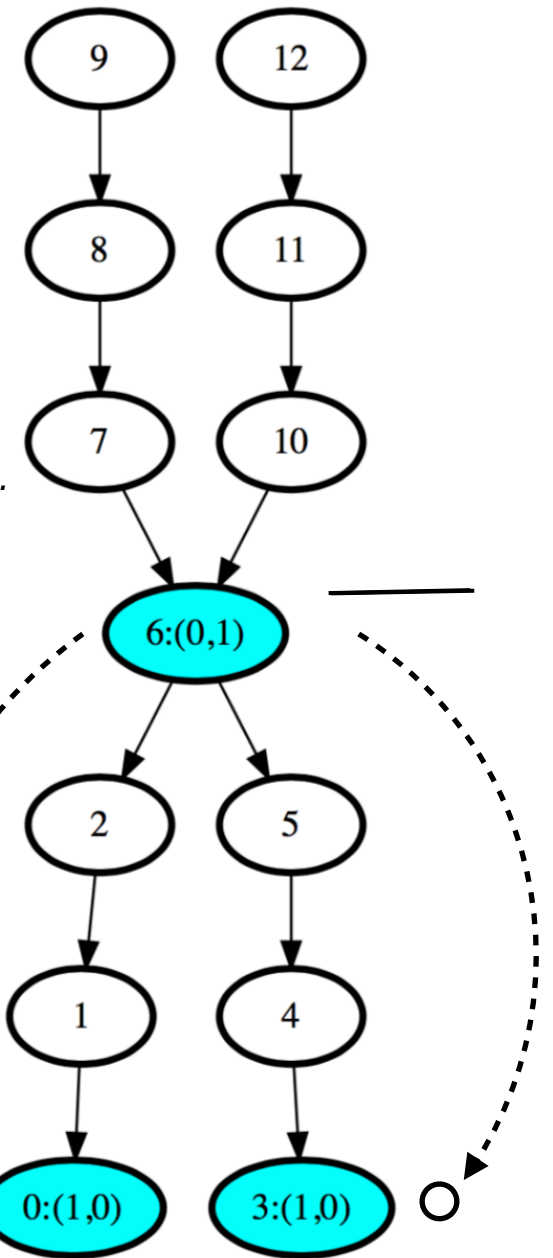
$$\Delta^M = \begin{array}{c|ccc} & 0 & 3 & 6 & \text{node index} \\ & 0 & 0 & 1 & \text{cell dim.} \\ \hline 0 & 0 & 0 & 1 \\ 3 & 0 & 0 & 1 \\ 6 & 1 & 0 & 0 \end{array}$$


data reduction...

$\mathcal{C}$

...without information reduction

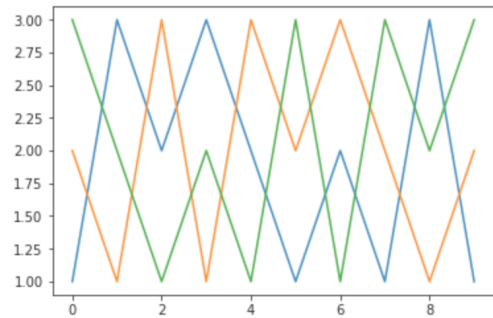
white nodes have no cells (trivial index)



chain-level data compression

144 cells  $\longleftrightarrow$  3 cells

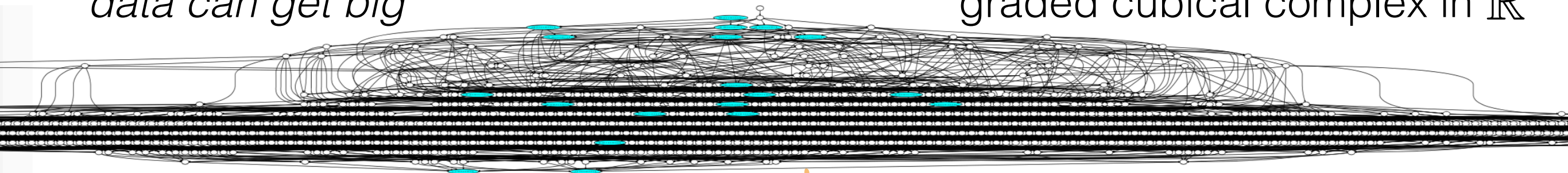
without loss of homological information



*data can get big*

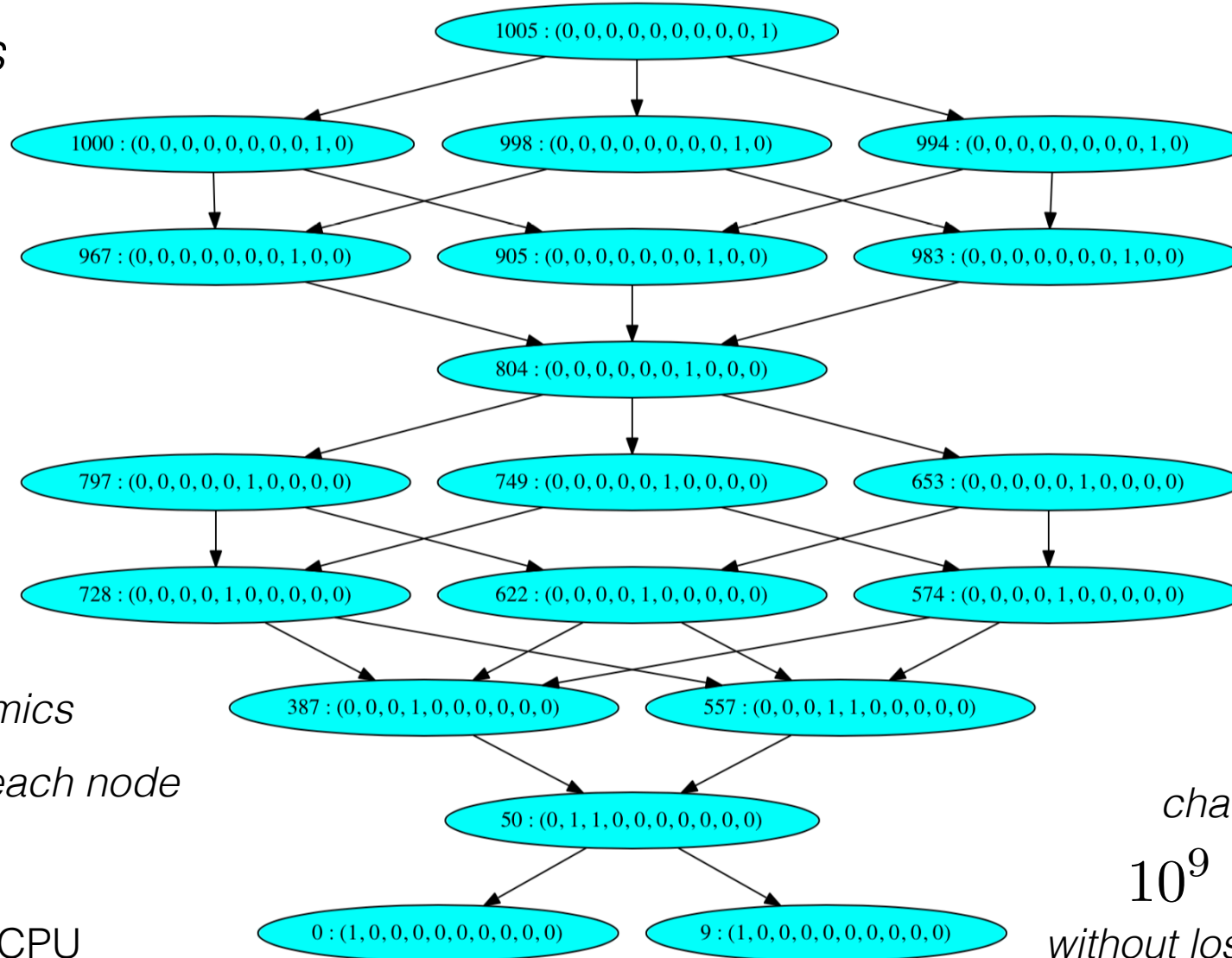
# braids ii

graded cubical complex in  $\mathbb{R}^9$



*restrict poset to nodes with nontrivial index*

*initial* graded cubical complex  
 $10^9$  cells  
 $|\text{SC}(\mathcal{F})| \approx 1000$



*Conley-Morse Graph*

*organizes global dynamics*

*Conley index for each node*

*Conley* complex  
 21 cells  
 19 nodes

*chain-level data compression*

$10^9$  cells  $\longleftrightarrow$  21 cells

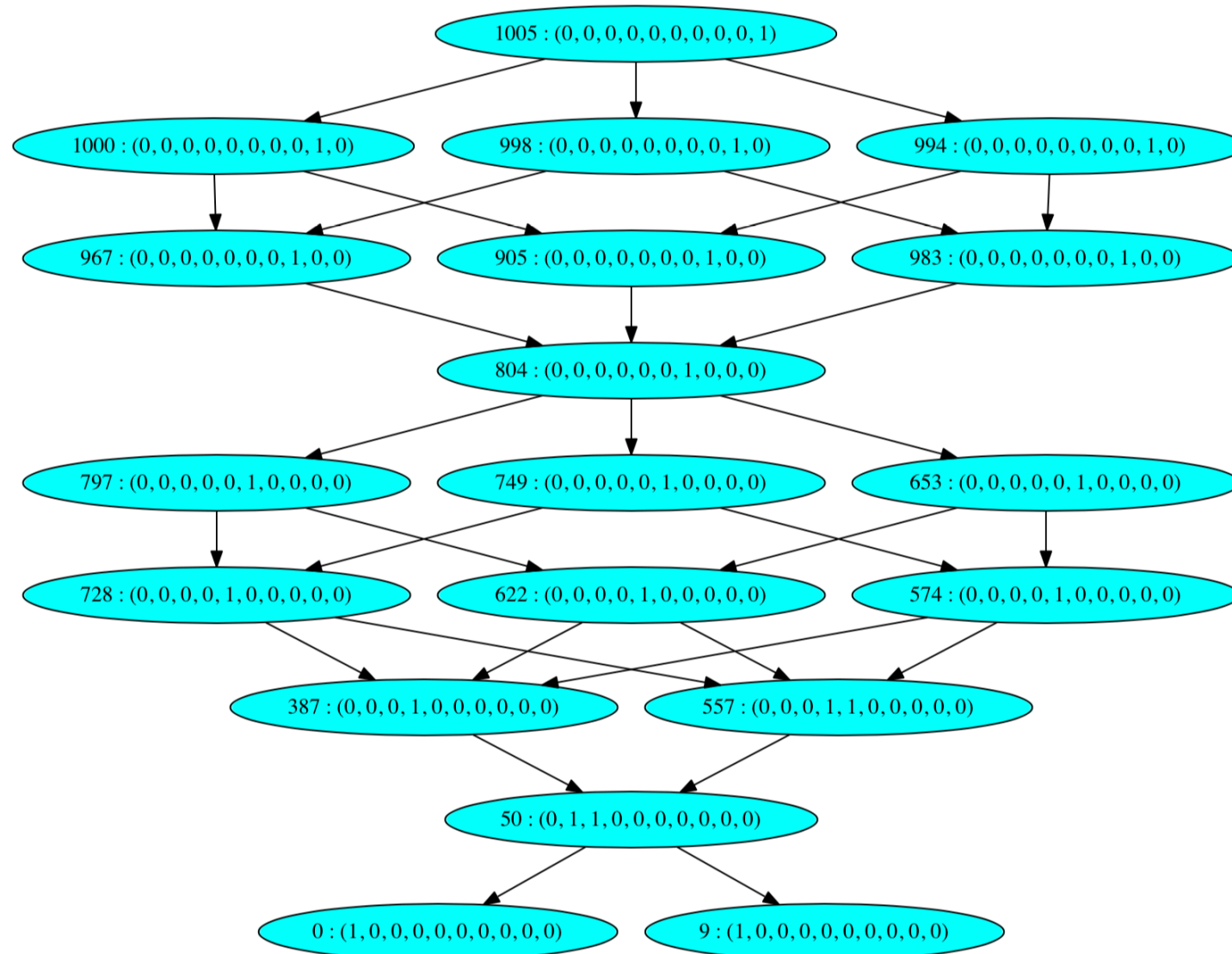
*without loss of homological information*

~47 min on single 2.5 GHz CPU



# braids iii

*order data*



*chain data*

Connection Matrix Data

=====

Boundaries of 0-cells in Conley complex:

0 : set()

1 : set()

Boundaries of 1-cells in Conley complex:

2 : {0, 1}

Boundaries of 2-cells in Conley complex:

3 : set()

Boundaries of 3-cells in Conley complex:

4 : {3}

5 : {3}

Boundaries of 4-cells in Conley complex:

6 : {4, 5}

7 : {4, 5}

8 : {4, 5}

9 : set()

Boundaries of 5-cells in Conley complex:

10 : {8, 9, 6}

11 : {8, 9, 7}

12 : {9, 6, 7}

Boundaries of 6-cells in Conley complex:

13 : set()

Boundaries of 7-cells in Conley complex:

14 : {13}

15 : {13}

16 : {13}

Boundaries of 8-cells in Conley complex:

17 : {14, 15}

18 : {16, 14}

19 : {16, 15}

*Conley-Morse Graph*

*organizes global dynamics*

*Conley index for each node*

*Conley Complex connection matrix*

*boundaries can be queried from the data structure*

*chain maps to move between data and invariant*



thank you for your attention

Collaborators:

S. Harker

K. Mischaikow

R. van der Vorst

Harker, S., Mischaikow, K. and Spendlove, K., 2018.  
A Computational Framework for the Connection Matrix Theory.  
*arXiv preprint arXiv:1810.04552.*

